Exhibited Animals – General

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Australian Ministers responsible for Primary Industries
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Introduction

Purpose

The principal purpose of this document is to describe standards and guidelines that ensure the welfare and security of animals used for exhibition purposes.

This document promotes measures and conditions that, if implemented, would see exhibited animals kept to the same standard throughout Australia.

The document considers a broad range of operational issues facing facilities that keep animals for exhibition purposes. Failure to address these issues could result in adverse animal welfare and security outcomes.

Scope

These standards and guidelines apply to those people and industries responsible for the care and management of:

- animals kept for exhibition purposes at facilities, i.e. for display, conservation, education and entertainment;
- animals during their temporary removal from a facility; and
- animals during their transport to or from a facility.

These standards do not apply to:

- wild animals (i.e. free-living and not confined to a facility by an enclosure, a leash or by management practices);
- the keeping of animals solely for the purposes of feeding to other animals at the facility;
- feeding of wild animals;
- animals kept for animal competitions, horse racing and sporting events, wildlife farming and domestic animal farming;
- wildlife rehabilitation of animals that are not displayed to the public at the facility;
- animals at pet shops; or
- circuses and mobile exhibitors.

These standards and guidelines should be considered in conjunction with other requirements for animals kept for exhibition purposes, and related Commonwealth, state and territory legislation for:

- animal welfare;
- exhibiting animals;
- pest control; and
- nature conservation.

Where legislation requires a higher standard than these standards, the higher standard will apply.

Interpretation

- Objective – the intended outcome(s) of a section of the standards and guidelines.
- Standards – the acceptable animal welfare and security requirements designated in this document. They are requirements that must be met under law with respect to animals kept for exhibition purposes.

The standards are intended to be clear, essential and verifiable statements. However, not all issues are able to be well defined by scientific research or are able to be quantified. Standards use the word “must”. Non-compliance with one or more standards will constitute an offence under law.
They are presented in a box and are numbered consecutively with the prefix ‘S’, beginning with S1.1.

- **Notes** – provide background and guidance on interpreting the standards.
- **Guidelines** - complement the standards by providing advice and/or recommendations to achieve desirable animal welfare and security outcomes. Non-compliance with guidelines does not constitute an offence under law.

They are numbered consecutively with the prefix ‘G’ beginning with G1.1.

### Document organisation

The *Australian Animal Welfare Standards and Guidelines. Exhibited Animals – General* apply to all animals kept for exhibition purposes at facilities.

Related documents, referred to generically as *taxon standards*, contain standards and guidelines that apply to the keeping of a specific animal group for exhibition purposes at facilities. They are additional to the standards and guidelines in the *Australian Animal Welfare Standards and Guidelines. Exhibited Animals – General*.

The following topics are considered throughout the standards and guidelines.

- Responsibilities;
- Security;
- Enclosures;
- Dietary and water requirements;
- Health and wellbeing;
- Reproductive management;
- Euthanasia;
- Capture and restraint;
- Training;
- Interactive programs;
- Transportation; and
- Animal identification and records.

Under these headings are subheadings which will not appear in the *taxon standards* if there are no additional requirements for that specific taxon.

Definitions are given in the beginning of this document. Defined words in this document are italicised.

### Review

Within five years of the ratification/implementation of the *Australian Animal Welfare Standards and Guidelines. Exhibited Animals – General*, or earlier if necessary, the stakeholders shall review the national standards for redundancy, inconsistencies and omissions resulting in the production of a revised version.
Definitions

Animal: all members of the animal kingdom (other than humans), including in the pre-natal, pre-hatched, larval or other such developmental stage, that are kept for exhibition purposes.

Animal collection management plan: A facility’s plan to facilitate sound reproductive management, identifying:

i. Whether the facility’s objective is to breed one or more species;

ii. If the objective is to breed: a) the desired quantity of offspring; (b) the purpose of any intended breeding (e.g. maintain genetic diversity, population numbers, supply another facility etc); and c) the enclosure(s) where offspring can be held; and

iii. If the objective is not to breed, identify how breeding will be controlled.

Animal transaction: acquisition or disposal of an animal by a facility. This does not include short-term removal from the facility for temporary purposes such as veterinary treatment or to give a presentation off-site.

Approved management program:

i. a Zoo and Aquarium Association approved species management plan; or

ii. other breeding program approved by the government authority.

Arboreal animal: an animal that primarily lives, and moves about, in trees.

Authorisation: authorisation given by the operator.

CITES: Convention on International Trade in Endangered Species of Wild Fauna and Flora. CITES is an international agreement between governments, including Australia, which aims to ensure that the international trade of wild species does not threaten their survival.

Dangerous animal: an animal that may be reasonably suspected as capable of causing serious injury (i.e. serious damage to health requiring medical attention and ongoing treatment) to or death of a human.

Disease: any condition suffered by an animal where normally accepted parameters of health are not met.

Drive-through enclosure: an enclosure into which vehicles containing members of the public may enter.

Emergency: A sudden, urgent, unexpected occurrence requiring immediate action, including, but not limited to, animal escapes/attacks/thefts, storms and cyclones, flooding, fire, loss of essential services, disease outbreaks, medical emergencies, hazardous chemical incidents, earthquakes and building collapse, loss of staff and human activity that places other humans or animals at risk (e.g. releasing animals, damage to enclosures, unauthorised access to enclosures, attempts to harm animals, terrorist activity.).

Enclosure: an area or space used to accommodate an animal that is surrounded by a barrier capable of containing the animal.

Enrichment: Enrichment is designed to support an animal’s psychological health and general wellbeing. It is a concept which describes how the environments of captive animals can be changed for the benefit of the inhabitants. Opportunities that may arise or increase as a result of enrichment can be generally described as environmental enrichment.

Euthanasia: the humane killing of an animal.

Exhibition purposes: public display, conservation, public education and public entertainment or other prescribed purposes.

Facility: any premises used for animal exhibition purposes, and includes:

i. any land or place (whether or not wholly or partly built upon or covered by water);
ii. a tent, stall or other structure, whether permanent or temporary; and

iii. a vehicle.

**Facility perimeter fence:** a structure surrounding a facility that discourages and makes difficult unauthorised human entry to the facility.

**Furniture:** any structure or thing within an enclosure that the animal has access to. This includes perches, shelter, troughs, ropes, pools, enrichment toys, trees, vegetation and logs.

**Government authority:** a federal, state or territory regulatory body responsible for pest control, conservation, or regulation of animal exhibition and/or animal welfare.

**Gregarious animal:** an animal that tends to form a group with others of its own species.

**Group animal:** an animal that naturally lives in large social groups and that has a natural generation time of less than three years or weighs less than 20 grams as an adult or is managed in a manner that precludes individual identification.

**Handling:** any deliberate disturbance or interruption of an animal’s normal activity by a person, including touching, distracting, manipulating, training, restraining, catching, holding, carrying, moving or transporting the animal, whether by or under the supervision of a keeper.

**Health program:** a preventative and curative program for the care of animals.

**Holding enclosure:** an enclosure which is smaller than the enclosure size required by the relevant taxon standard for the animal it holds but does not include an animal container being used during transportation.

**IATA:** International Air Transport Association.

**Independent animal:** an animal at a stage of development where it is not dependent on parental care for its survival.

**Individual permanent identification:** a marker that allows a specimen to be distinguished from conspecifics by a third party and includes:

i. ear tags, leg bands and micro-chips;

ii. drawings or photographs for specimens that have unique, readily distinguishable, permanent markings and/or colourations;

iii. physiological traits such as unusual or unique physical traits that are permanent and are not likely to manifest in a conspecific in such a way as to render such identifier ineffectual.

**Interactive handling:** any handling of an animal associated with the animal being used in an interactive program. It is not considered to be interactive handling when the handling is undertaken by a keeper or veterinarian as part of routine husbandry procedures or in the delivery of health care.

**Interactive handling time:** the length of time that an animal is subject to interactive handling. Interactive handling time includes the duration of any movement or transport to and from the location of an interactive program.

**Interactive program:** activities supervised by one or more keepers which encourage a member of the public to touch, feed and/or have close contact with an animal, either inside or outside the animal’s normal enclosure. It is not considered to be an interactive program when members of the public enter a designated walk-through animal enclosure such as a macropod walk-through or a walk-through aviary.

**Keeper:** a person employed or engaged under the direction of the operator or the operator’s appointed agent who has a responsibility towards an animal or group of animals.

**Licence holder:** the holder of a licence authorising the display of animals at a facility.

**Operator:** a licence holder or a natural person nominated by the licence holder to be the person in charge of a facility, or, where no licence is held, the person in charge of animals held for exhibition purposes.
**Operant conditioning:** learning in which behaviours are altered by the consequences that follow them.

**Proficient keeper:** a person who is at least 18 years old employed or engaged under the direction of the operator or the operator's appointed agent who has a responsibility towards an animal or group of animals and who has, with respect to an animal, demonstrated skills and knowledge in:

i. the basic biology and ecology of the animal;
ii. satisfying the physiological, environmental and behavioural (including social) requirements of the animal;
iii. recognising indicators of sickness or stress in the animal;
iv. the safe handling, restraint and transport of the animal;
v. understanding the risk of attacks by the animal on themselves, other persons or other animals;
vi. minimising negative stress impacts on the animal;
vii. providing the animal with appropriate diets;
viii. maintaining hygiene standards to prevent disease;
ix. treating the animal as directed by a veterinarian;
x. recording information about the animal as required by these Standards;
xi. maintaining enclosure security; and
xii. implementing pest prevention and control measures.

**Quarantine:** a period of isolation for the purpose of detecting and eliminating infectious disease.

**Restraint:** any method, (whether physical, chemical or behavioural) of preventing an animal from performing an act or movement.

**Staff:** all persons who have been given a level of responsibility associated with the facility and includes, but is not limited to, keepers, volunteers, contractors, caterers, gate/ticket collectors, security officers and supervisors.

**Stereotypic behaviour:** a movement or sequence of movements that are repeated with little or no variation and which either have no obvious purpose or indicate a welfare problem, and may include swaying, weaving, circling, pacing, excessive aggression or dominance, excessive grooming and self-mutilation.

**Substrate:** the material that covers the ground or floor, for example bedding or litter placed on the flooring of a cage, box, stall or enclosure, or the soil or grass covering of an outdoor ground surface.

**Taxon standards** – an Australian Animal Welfare Strategy Standards and Guidelines document that stipulates standards and guidelines for a specific animal or group of animals.

**Terrestrial animal:** an animal that primarily lives and moves on the ground.

**Thermoregulation:** the regulation of an animal's body temperature to an optimum level by behavioural or physiological means.

**Training:** teaching animals specific responses to specific conditions or stimuli.

**Transportation:** the process of moving an animal to or from a facility.

**Vehicle:** a means of transport, including, but not limited to, a car, truck, bus, aircraft, boat, trailer, train, and tram.

**Veterinarian:** a registered veterinarian.

All definitions cover the singular, plural and all variations of the word.

All definitions apply to taxon standards unless stated otherwise.
1 Responsibilities

Objective

Operators and staff understand their responsibilities and collectively manage the facility to ensure the health, welfare, safety and security of animals.

General

Standards

S1.1 The operator must ensure adequate levels of resources, including staffing and funding resources are available for the maintenance of all animals.

S1.2 The operator must ensure staff do not handle an animal or enter an enclosure if affected by a medical condition, medication, substance or illness which substantially impairs reaction speed or hand-eye coordination.

S1.3 The operator must ensure an animal is managed in a safe manner that does not endanger the animal and other animals.

S1.4 The operator must ensure the husbandry of each animal is supervised by a proficient keeper.

S1.5 The operator must ensure a proficient keeper supervises any management of an animal by a keeper who is not a proficient keeper.

S1.6 The operator must keep a record of the operator's assessment that a keeper is a proficient keeper.

S1.7 The operator must ensure staff working with a dangerous animal or entering or working in an enclosure containing a dangerous animal are:
   i. either a proficient keeper or under the immediate supervision of a proficient keeper;
   ii. either a proficient keeper, a keeper or accompanied by a keeper;
   iii. provided with a reliable, immediate means of communication, including but not limited to a two-way radio, phone, or alarm, to alert staff familiar with animal emergency procedures; and
   iv. authorised to do so.

S1.8 The operator must develop, maintain and implement written procedures that address the circumstances in which staff can access and enter enclosures used to hold dangerous animals.

Guidelines

G1.1 An operator may utilise a combination of methods and materials to assess whether a member of staff should be considered a proficient keeper. These include formal and informal training, interview, skills record book and supervisor assessment.

G1.2 The operator should provide keepers with opportunities and assistance to expand their knowledge and practical skills in animal husbandry through continuing professional education and training from recognised training courses, internal training, performance feedback, visits to other facilities and attendance at conferences.

G1.3 Training and assessment records should be kept.
G1.4 The operator should ensure that all keepers are provided with a current job description that outlines their responsibilities.

G1.5 Contingency plans should be developed and maintained to ensure the welfare of animals is protected should a facility be forced to close.
2 Security

Objective

Animals are held securely to ensure their welfare and prevent pest establishment. Access by unauthorised persons and escape of animals is prevented.

General

Standards

S2.1 The operator of a facility must ensure that the facility has a secure facility perimeter fence.

S2.2 The operator must ensure all enclosures are designed and maintained for the security, containment and safety of animals.

S2.3 The operator must ensure that moats, which may be wet or dry:
   i. are designed and maintained to prevent any animal kept in the moated enclosure from escaping from the enclosure;
   ii. are designed and maintained to prevent flooding of the moated enclosure; and
   iii. deter unauthorised persons from entering or crossing the moat.

S2.4 The operator must ensure animals are contained within enclosures, unless authorised by the operator. Bees kept for exhibition purposes are exempt.

S2.5 The operator must ensure animals are not removed from enclosures unless the removal is authorised.

S2.6 The operator must ensure when an animal is removed from its enclosure, a proficient keeper:
   i. supervises the removal of the animal;
   ii. ensures the animal is under appropriate supervision until it is returned to the enclosure; and
   iii. supervises the return of the animal.

S2.7 The operator must ensure written procedures, resources and infrastructure are in place to reasonably prevent an animal escaping from:
   i. its enclosure;
   ii. its keeper when it has been removed from its enclosure; and
   iii. the facility.

S2.8 The operator must develop and implement written procedures for recapturing any escaped animal.

S2.9 The operator must ensure that escaped animals are either captured or contained as soon as is reasonably possible using methods that minimise stress to the animal or, where capture is not feasible, euthanased.

S2.10 The operator must ensure that entrances to all enclosures and off-exhibit areas containing unlocked enclosures are kept locked except when the area is being accessed by staff. Walk-through enclosures are exempt.

S2.11 The operator must ensure that entrances to the facility are locked outside opening hours except when being accessed by staff, contracted security patrols or others under immediate staff supervision.
Guidelines

G2.1 *Enclosure* integrity should be inspected daily.

G2.2 All moats should be fitted with an overflow drain/s and a wet moat should be fitted with a reticulated water supply controlled by one or more float valves to ensure the water level complies with S2.3.

G2.3 Reasons why *animals* may need to be removed from their *enclosure* include:

1. husbandry;
2. promotion;
3. filming;
4. *veterinary* purposes;
5. *transport*;
6. educational presentations;
7. *interactive programs*;
8. specific *enrichment* procedures;
9. research; and
10. other purposes approved by a *government authority* with jurisdiction.

Emergency Procedures

Standards

S2.12 The *operator* must ensure that written *emergency* procedures are developed, maintained and readily available to effectively and promptly manage *emergencies*.

S2.13 The *operator* must ensure that *staff* receive training appropriate to the duties allocated to them in the *facility’s emergency* procedures.

S2.14 The *operator* must ensure emergency procedures are designed to support the welfare needs of *animals* during an *emergency* and minimise damage to the *facility*.

Guidelines

G2.4 *Emergencies* include:

1. *animal* escapes/attacks/thefts;
2. storms and cyclones;
3. flooding;
4. fire;
5. loss of essential services;
6. *disease* outbreaks;
7. medical *emergencies*;
8. hazardous chemical incidents;
9. earthquakes and building collapse;
10. loss of *staff*; and
11. human activity that places *animals* at significant risk (e.g. releasing *animals*, damage to *enclosure barriers*, unauthorised access to *enclosures*, attempts to harm *animals*, terrorist activity etc.).
G2.5  *Emergency* procedures should include:

i. a readily available quick reference guide for staff;

ii. a communication plan with a system independent of the main power source to notify and liaise with staff, relevant government authorities and services, and the public;

iii. a clear chain of responsibility and identification of staff with designated emergency roles;

iv. identification of staff trained in the emergency procedures and their contact details;

v. location of, how to gain ready access to, and how to use animal capture/containment equipment and lethal and non-lethal deterrents;

vi. reference to the institution’s *euthanasia* policy;

vii. an effective method of securing the perimeter of the facility if it is breached or unsecured;

viii. debriefing of staff;

ix. annual drills, a documented review of the effectiveness of procedures and, upgrading/updating of procedures as necessary;

x. directions relating to reporting emergencies to the relevant government authority(ies); and

xi. directions relating to the circumstances when evacuation and re-housing of animals may be required and the procedures which should be followed.

G2.6  Efforts to recapture escaped animals may extend over a period of time where negligible risks exist for:

i. human safety;

ii. animal safety;

iii. disease transmission; and

iv. pest establishment.

G2.7  *Euthanasia* should be considered if the recapture of an escaped animal is not feasible. This may include situations where there is:

i. an unacceptable risk to the animal, other animals, staff, other people, the natural environment or other people’s property;

ii. an inability to obtain access to the animal; and

iii. an unacceptable risk of spread of disease.

G2.8  Before a battery operated communication device may be relied upon as a primary method for communication in event of an animal emergency, the following should be confirmed:

i. reliable coverage throughout the facility;

ii. protocol to ensure batteries are adequately charged; and

iii. mobile phones have designated emergency contact number/s programmed on speed dial.
3 Enclosures

Objective

Enclosures are designed, constructed and maintained to ensure the welfare, security and safety of animals.

General

Standards

S3.1 The operator must ensure enclosures are provided for:
   i. exhibition purposes;
   ii. health and quarantine;
   iii. the management of social issues;
   iv. exhibit renovation; and
   v. surplus animals.

S3.2 The operator must ensure enclosures are designed, constructed, and managed to:
   i. provide a safe environment for the animals contained within them;
   ii. provide for the needs of the animals contained within them by providing appropriate:
      i. temperature and humidity gradients;
      ii. ventilation;
      iii. lighting;
      iv. enrichment;
      v. noise levels;
      vi. shelter and protection from climate extremes;
      vii. retreats;
      viii. substrate materials;
      ix. water filtration;
      x. spatial dimensions;
      xi. natural behaviour opportunities (including those required by taxon standards);
      xii. protection from predation;

Note - protection from predation includes:
   i. providing sufficient ground cover for terrestrial animals that are at risk from avian predators when kept in open-topped enclosures; and
   ii. finding and removing entry points used by terrestrial predators.

   xiii. control of health risks to the animals, including those arising from parasites and pests and other unwanted/incompatible animals entering the enclosure; and
xiv. opportunities for the animals to relax, feel secure, rest and avoid disturbance;
iii. control unauthorised entry; and
iv. provide a safe environment for animals.

S3.3 Where a moat is used to contain an animal, the operator must ensure the moat is designed and constructed so that:

i. it does not cause injury if an animal accidentally falls or attempts to enter the moat;

ii. allows the animal to easily climb back out of the moat without leaving the enclosure; and

iii. if the moat is designed to be part of the area used by the animal, it allows the animal to enter and exit the moat easily.

S3.4 The operator must ensure that enclosure barriers are appropriate for the animals inside that enclosure and in accordance with relevant taxon standards.

S3.5 The operator must ensure that an electric barrier has a backup power source if it is the primary containment barrier for an enclosure.

S3.6 The operator must ensure that enclosures allow for the expression of appropriate natural behaviours of the animals in those enclosures.

Note - A natural behaviour is not appropriate if it breaches any of the other standards in this document e.g. encouraging predation through the feeding of live prey.

S3.7 The operator must ensure that enclosures are individually and permanently identified with a unique name, number or alphanumeric code.

S3.8 Operators must provide all visitors with accurate information relating to animals within an enclosure, including identification of the species.

S3.9 The operator must ensure that enclosures are constructed and maintained to reasonably prevent visitors from initiating unauthorised contact with the animals.

Guidelines

G3.1 Stand-off barriers should be used around dangerous animal enclosures to ensure animal safety.

G3.2 Enclosures should be designed to provide ease of maintenance.

G3.3 Species-appropriate lighting should mimic the light cycles, levels and spectral distribution normally experienced by the species in its wild habitat.

G3.4 Animals should be able to achieve a sense of security within an enclosure, for example, by retreating from other animals and from viewing or interacting with the public.

G3.5 Changes in the direction of fence lines should facilitate movement of the animals and avoid collision, entrapment and other hazards.

G3.6 Support posts, rails, stays and straining wires for fencing should be on the outside of the enclosure barrier to provide a safe environment for the animals, and, in some cases, to minimise escape opportunities. Where this is not practical care should be taken to place them in a manner which minimises the risk of harm to the animal and the risk of escape.

G3.7 Electric barriers should not be used without visible backup barriers such as moats or non-electric fences in handling areas or when animals are being moved between enclosures.

G3.8 An electric barrier may be used to supplement a primary barrier of an enclosure for an animal that climbs, jumps and/or is considered a potentially dangerous animal.
G3.9 A sign should be attached to the *enclosure* with details of the *enclosure* identifier.

G3.10 Techniques for provision of information to visitors include leaflets, signs, booklets, multimedia, keeper talks and interpretative displays.

### Gates and Doors

**Standards**

S3.10 The *operator* must ensure that *enclosure* gates, doors, slides and other entry and exit devices are designed, constructed, maintained and managed to:

i. reasonably prevent injury to the *animal*;

ii. reasonably prevent unauthorised entry;

iii. reasonably prevent *animal* escape; and

iv. reasonably prevent an *animal* from tampering with its effective operation or breaching its security.

S3.11 The *operator* must ensure *enclosure* gates for *dangerous animals* are able to be operated from areas that are secure from the *animal*.

**Guidelines**

G3.11 *Enclosure* gates and doors should allow easy, calm movement of *animals* in and out of *enclosures* to minimise the stress of handling.

G3.12 All gates, doors, slides and other entry and exit devices should provide:

i. a clear view of other entry and exit points and the immediate surrounding area inside the *enclosure*; and

ii. if mechanically operated (e.g. electric, air) easy manual operation.

G3.13 Where an entry/exit does not provide a clear view of *animals*, a documented access procedure should be in place.

G3.14 All locking devices should indicate when they are locked or unlocked.

G3.15 Access to *enclosures* containing *dangerous animals* should be from an area capable of containing the *animal* should it escape through the access. Exceptions:

i. *dangerous animal enclosures*, such as open-topped venomous reptile pits, which are accessed by *staff* climbing a wall that confines the *animal* to the *enclosure*; and

ii. *dangerous animal enclosures* which have *vehicular* access that can only be unlocked from inside the *enclosure*.

G3.16 *Dangerous animal enclosure* gates that are not slide gates should be inward swinging.
### Drive-through Enclosures

#### Standards

<table>
<thead>
<tr>
<th>S3.12</th>
<th>The operator must ensure that a vehicle does not enter a drive-through enclosure unless driven by an authorised driver.</th>
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<tbody>
<tr>
<td>S3.13</td>
<td>The operator must ensure that a vehicle used within a drive-through enclosure containing an animal:</td>
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<tr>
<td></td>
<td>i. affords animals the same level of protection as the enclosure barriers required by the relevant taxon standards; and</td>
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<td></td>
<td>ii. is driven by, or immediately supervised by, a member of staff travelling in the vehicle.</td>
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<tr>
<td>S3.14</td>
<td>The operator must ensure that a person does not disembark from a vehicle inside a drive-through enclosure unless the person has been authorised to do so.</td>
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<td>S3.15</td>
<td>The operator must ensure that electronically operated gates to drive-through enclosures have an alternative method of manual control.</td>
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<td>S3.16</td>
<td>The operator must ensure that the design and management of drive-through enclosures provides sufficient space to minimise the risk of startled animals colliding with vehicles or fixtures.</td>
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<tr>
<td>S3.17</td>
<td>The operator must ensure that where the enclosure contains dangerous animals or where controls are specified in the taxon standard, a drive-through enclosure has controlled access points that:</td>
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<td></td>
<td>i. have a double gate entry and exit; and</td>
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<td></td>
<td>ii. are monitored and operated by competent staff.</td>
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<td>S3.18</td>
<td>The operator must ensure the development and implementation of a written contingency plan for dealing with incidents including emergency evacuations.</td>
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<td>S3.19</td>
<td>The contingency plan must indicate how:</td>
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<tr>
<td></td>
<td>i. animal welfare will be ensured if visitors and staff need to be removed from a drive-through enclosure in the event of a vehicle break down or animal related emergency; and</td>
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<td></td>
<td>ii. staff outside the enclosure will be alerted of a vehicle break down or animal related emergency.</td>
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</table>

#### Guidelines

<table>
<thead>
<tr>
<th>G3.17</th>
<th>People that mechanically operate gates to drive-through enclosures should have a clear, unobstructed view of the gate and the immediate surrounding area.</th>
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<tbody>
<tr>
<td>G3.18</td>
<td>Methods for alerting staff outside the drive-through enclosure in the event of a vehicle break down or animal related emergency include:</td>
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<tr>
<td></td>
<td>i. sounding a horn;</td>
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<td>ii. flashing headlights;</td>
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<td>iii. calling an emergency phone number; and</td>
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<td></td>
<td>iv. use of two-way radios.</td>
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</table>
Substrate and Drainage

### Standards

S3.20 The operator must ensure that substrate used within an enclosure:

i. is not harmful to the animal;

ii. is kept in a hygienic condition;

iii. permits effective drainage; and

iv. allows for appropriate natural behaviours.

S3.21 The operator must ensure that drains within an enclosure must be designed, constructed and maintained so they:

i. are not harmful to the animal;

ii. are in a hygienic state; and

iii. permit effective drainage.

### Guidelines

G3.19 Soiled substrate should be removed and replaced.

G3.20 Collection drains in the enclosure should be designed to prevent debris from blocking the drain and to allow easy removal of debris.

Enclosure Furniture

### Standards

S3.22 The operator must ensure that enclosures contain furniture that contributes to enrichment of the enclosed animal, unless otherwise directed by a veterinarian.

S3.23 The operator must ensure that furniture does not adversely affect the welfare of the animal.

S3.24 The operator must ensure that enclosure furniture and its placement do not facilitate the escape of an animal.

S3.25 The operator must ensure that bathing pools are appropriate to the animal and have:

i. surfaces which are species appropriate;

ii. water of a quality and temperature which is not harmful to the animal;

iii. entry or exit points and surfaces which prevent entrapment; and

iv. features which prevent flooding of an enclosure, and escape of an animal from the enclosure.

S3.26 The operator must ensure climbing structures used by arboreal animals are maintained in a hygienic condition.
Spatial Requirements

Standards
S3.27 The operator must ensure that the size and shape of an enclosure complies with all relevant taxon standards or exemptions approved by the relevant government authority and is appropriate for:
   i. the species in the enclosure;
   ii. the developmental stage of each animal in the enclosure;
   iii. the number of animals housed in the enclosure; and
   iv. social groupings.

Holding Enclosures

Standards
S3.28 The operator must not continuously keep an animal in a holding enclosure for a period longer than 90 days unless the operator has been granted approval by the relevant government authority.

Note - Management or husbandry purposes that may require an animal to be confined in a holding enclosure include:
   i. cleaning of the animal’s enclosure;
   ii. repair and maintenance of the animal’s enclosure;
   iii. overnight security;
   iv. capture, restraint, transport or veterinary reasons;
   v. overnight sleeping dens; and
   vi. as part of, or in preparation for, an animal demonstration.

S3.29 The operator must ensure that a holding enclosure complies with the holding enclosure spatial requirements stipulated by relevant taxon standards unless:
   i. the animal it encloses is being treated by a veterinarian and the veterinarian has determined that treatment of the animal’s condition requires it to be held in a smaller enclosure; or
   ii. approval to hold the animal in a smaller enclosure has been granted by the relevant government authority.

S3.30 Where no holding enclosure spatial requirements are stipulated by relevant taxon standards the operator must ensure that the spatial dimensions of a holding enclosure are a minimum of 1/3 the enclosure spatial requirements stipulated by relevant taxon standards unless:
   i. the animal it encloses is being treated by a veterinarian and the veterinarian has determined that treatment of the animal’s condition requires it to be held in a smaller enclosure;
   ii. approval to hold the animal in a smaller enclosure has been granted by the relevant government authority; or
   iii. the holding enclosure is used to hold the animal for a period not exceeding one hour per day.

S3.31 The operator must ensure that a holding enclosure is not used for routine management to rotate an animal through an enclosure unless:
i. the holding enclosure meets the spatial requirements stipulated by relevant taxon standards;

ii. where no holding enclosure spatial requirements are stipulated by relevant taxon standards, the holding enclosure is a minimum of 1/3 the enclosure spatial requirements stipulated by relevant taxon standards; or

iii. the animal is kept in the holding enclosure no more than 24 hours in any 48 hour period.

S3.32 The operator must have written advice from the treating veterinarian that recommends continued holding of an animal in a holding enclosure if an animal undergoing veterinary treatment is held for more than seven days in that holding enclosure and it is either:

i. smaller than the holding enclosure spatial requirements stipulated by relevant taxon standards; or

ii. where no holding enclosure spatial requirements are stipulated by relevant taxon standards, smaller than 1/3 of the prescribed enclosure dimensions.
4 Dietary and water requirements

Objective

Animals are provided food and water of an appropriate quality and quantity to maximise their health and wellbeing.

Food

Standards
S4.1 The operator must ensure that an animal’s dietary requirements are met.

Note - Meeting an animal’s dietary requirements includes ensuring:

i. the food supplied to the animal contains sufficient nutrients to ensure normal growth, good health and vitality;

ii. the quality of the food supplied meets the animal’s physiological needs;

iii. the quantity of food supplied is sufficient to maintain normal growth, but not obesity;

iv. the food does not contain any ingredients or contaminants that are known to be harmful to the animal;

v. species’ diets are written and readily available to keepers; and

vi. diets are reviewed and reflect available knowledge.

S4.2 The operator must ensure that live vertebrate prey is not provided to an animal as part of its diet unless it is essential to the maintenance of the animal and is either permitted by the taxon standards applicable to that animal, or has been approved by the relevant government authority.

S4.3 The operator must ensure that automated feeding devices are inspected daily to ensure that they are working effectively.

S4.4 The operator must ensure that food is:

i. not placed on substrate if ingestion of the substrate is likely to be harmful to the animal;

ii. provided by a regime that ensures that each animal in the enclosure has access to sufficient food to maintain good health;

iii. presented in a manner that reasonably prevents contamination by pest animals; and

iv. presented in a manner which is appropriate to the animal’s natural behaviour, except where this would breach the standard relating to feeding of live vertebrate prey.

Guidelines

G4.1 Feeding animals with live vertebrate prey should not be undertaken during public viewing.

G4.2 Animals should be fed in a manner appropriate to the animal’s natural behaviour, except where this would breach the standard relating to the feeding of live vertebrate prey.

G4.3 Items used to provide food to animals should:
i. not be used for any other purpose;
ii. be clean and designed to avoid risk of injury to the animals; and
iii. minimise the risk of contamination and soiling by the animals.

Water

**Standards**

S4.5 The operator must ensure that animals have appropriate access to drinking water of sufficient quality and quantity to ensure good health and vitality.

S4.6 The operator must ensure that automated drinking water devices are inspected daily to ensure they are working effectively.

**Guidelines**

G4.4 Receptacles used to provide drinking water to animals should:

i. not be used for any other purpose;

ii. be easy to clean and designed to avoid risk of injury to the animals;

iii. be placed in such a position that each animal in the enclosure has easy access to sufficient water and the risk of contamination and soiling by the animal is minimised;

iv. be sufficiently sturdy to minimise the risk of breakage by animals; and

v. be regularly and thoroughly cleaned.

G4.5 Frequent water changes may be necessary if animals utilise water as part of their feeding behaviour.
5 Health and wellbeing

Objective

The health and wellbeing of animals is maximised, and disease and disease transmission is prevented.

General

<table>
<thead>
<tr>
<th>Standards</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>S5.1</td>
<td>The operator must ensure written procedures indicating how to maintain the acceptable range of an environmental parameter are developed, maintained and implemented where a taxon standard stipulates an environmental parameter.</td>
</tr>
<tr>
<td>S5.2</td>
<td>The operator must ensure that environmental conditions within an enclosure are maintained in a manner appropriate to the animals held within that enclosure.</td>
</tr>
<tr>
<td>S5.3</td>
<td>The operator must ensure that enclosures are cleaned to maintain the health of the animal.</td>
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<td>S5.4</td>
<td>The operator must ensure that effective health programs are implemented and include:</td>
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<tr>
<td></td>
<td>i. prevention, diagnosis, control and appropriate treatment of diseases, injuries and abnormal behaviours;</td>
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<td></td>
<td>ii. appropriate and regular monitoring to assess the health and well-being of the animals;</td>
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<td></td>
<td>iii. routine husbandry treatments;</td>
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<td></td>
<td>iv. circumstances for the engagement of a veterinarian; and</td>
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<tr>
<td></td>
<td>v. provision of staff training and guidance in animal handling and immobilisation.</td>
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<tr>
<td>Note</td>
<td>Routine husbandry treatments may include:</td>
</tr>
<tr>
<td></td>
<td>i. hoof trimming;</td>
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<td>ii. tooth grinding;</td>
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<td>iii. claw trimming;</td>
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<td>iv. shaving; and</td>
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<td></td>
<td>v. grooming.</td>
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<tr>
<td>S5.5</td>
<td>The operator must ensure that appropriate handling and treatment equipment are available to the facility.</td>
</tr>
<tr>
<td>S5.6</td>
<td>The operator must ensure adequate backup systems or support alternatives are available and maintained where an animal’s wellbeing is dependent on external utilities, including but not limited to power, ventilation, air conditioning and water quality.</td>
</tr>
<tr>
<td>S5.7</td>
<td>The operator must ensure immediate measures are taken to assess the cause, correct or treat the problem, and minimise the impact on the animal and other animals, if an animal’s behaviours:</td>
</tr>
<tr>
<td></td>
<td>i. are outside the range of acceptable species behaviours;</td>
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<td></td>
<td>ii. may compromise itself or another animal; or</td>
</tr>
<tr>
<td></td>
<td>iii. are outside the range of acceptable species behaviours and may compromise another animal.</td>
</tr>
<tr>
<td>S5.8</td>
<td>The operator must ensure gregarious animals are not held alone in an enclosure other</td>
</tr>
</tbody>
</table>
than for the shortest possible time consistent with:

i. veterinary reasons;

ii. quarantine measures;

iii. taxon standards;

iv. animal introductions or disposal;

v. husbandry or management purposes;

vi. training or conditioning programs; or

vii. an approval issued by the relevant government authority.

Note - Husbandry and or management purposes which may require a gregarious animal to be held alone in an enclosure include:

i. during breeding season when a submissive individual animal needs to be removed from the group until aggression associated with breeding ceases;

ii. during a breeding event where an individual animal raising offspring may need to be held separately from the group for the safety of the dependant offspring; and

iii. during feeding to ensure that a submissive individual animal can gain proper access to food.

S5.9 The operator must ensure a documented program for the control of insects, parasites and vertebrate pests for the health and protection of animals is developed, maintained and implemented.

Guidelines

G5.1 A species-relevant husbandry manual should be available to keepers.

G5.2 Health programs should provide for pre- and post-procedural care in accordance with established veterinary practices.

G5.3 Handling and treatment facilities should:

i. be clean, well-ventilated and provide shelter from sun and adverse weather conditions;

ii. enable the restraint of animals;

iii. allow safe husbandry and treatment; and

iv. enable humane euthanasia of animals.

G5.4 Operators and staff should familiarise themselves with their State/Territory animal disease legislation so they are aware of their responsibilities with respect to reporting suspected animal disease.

G5.5 A program for the control of insects, parasites and vertebrate pests should include measures for the control of flies, mosquitoes and ants as appropriate to the location and species being held.
Enrichment

Standards

S5.10 The operator must ensure animals receive species-appropriate enrichment, having regard to:

i. cognitive enrichment;
ii. occupational enrichment;
iii. physical enrichment;
iv. feeding enrichment;
v. sensory enrichment; and
vi. social enrichment.

Note – Enrichment programs may include:

i. cognitive enrichment – provision of mental stimulation through progressive learning. This may include learning of cooperative behaviours through training for husbandry or demonstration purposes, such as box training, behavioural demonstrations, foot care, collection blood etc. Cognitive enrichment should be designed so that animals are able to receive an increase in challenges specific to the individual;

ii. occupational enrichment – provision of objects for the animals to manipulate, encouraging an increase in activity, curiosity and motor skills. This may include boomer balls, cardboard boxes with objects inside etc;

iii. physical enrichment – provision of space, substrate and furniture to encourage species appropriate natural behaviours. This may include providing lookouts, planting enclosures with vegetation to provide shade, climbing trees, trunks, branches etc. Good exhibit design will provide an enriching environment before other types of enrichment is offered;

iv. feeding enrichment – provision of feeding activities to encourage foraging behaviours and activity. This may incorporate novel food items (blood ice blocks), feeding techniques (hidden food), changing daily feeding times, different types of browse, live feeding of invertebrates etc.

v. sensory enrichment – providing diversity for the five senses; sound, smell, touch, taste and sight. This may include herbs and spray scents, items that make noise when touched, uncommon food items, water bodies/wallows with mud etc; and

vi. social enrichment – providing opportunities to interact with compatible animals whether they are of the same or a different species. This may include changing group dynamics by mixing different genders, ages and numbers and at different times of the day and year.

S5.11 The operator must ensure additional enrichment is provided to an animal if an animal's lack of fitness or poor mental or physical health is considered by a veterinarian or proficient keeper to be due, or partly due to a lack of environmental stimuli or lack of opportunity to carry out natural behaviours.

S5.12 The operator must ensure that animals showing signs of stereotypic behaviours are assessed by a veterinarian or proficient keeper and that a specific program is developed and implemented to address the cause and to prevent further development of the stereotypic behaviours.

Note - Incorporating enrichment practices into daily husbandry routines promotes positive psychological health and wellbeing. Enrichment should be provided to an animal freely, whereby the animal is not always required to carry out a particular task in return for something it enjoys.
Guidelines

G5.6  *Enrichment* programs should provide complexity, choice, change and be appropriately challenging.

Quarantine

**Standards**

S5.13 The *operator* must ensure species-appropriate *quarantine* procedures are implemented.

Guidelines

G5.7  Appropriate *quarantine* procedures and guidelines should be written, maintained and readily available to *staff*.

G5.8  *Quarantine* procedures and guidelines should consider:

i.  isolation of newly acquired *animals* to provide for examination, treatment, monitoring, acclimatisation and, if necessary, restoration to good health;

ii.  physical examination of all *animals* on arrival, including performance of appropriate clinical and laboratory diagnostic tests;

iii.  *veterinary* treatment for existing illness, *disease*, injury;

iv.  adaptation to diet, including supplemental feedings, if necessary, to meet nutritional requirements;

v.   appropriate time periods for *quarantine* to ensure *animals* are clear from communicable *disease* and injury; and

vi.  *veterinary* care and treatment as necessary to protect against communicable *diseases*. 
6 Reproductive management

Objective

Animal breeding is managed to maintain the genetic integrity, sustainability and/or diversity of the species and to prevent the production of unplanned surplus animals.

Standards

S6.1 The operator must ensure an animal collection management plan is developed, maintained and implemented for the facility.

S6.2 The operator must ensure animal breeding is managed in accordance with the animal collection management plan.

S6.3 The operator must ensure animal breeding is managed to promote genetic sustainability of animals.

S6.4 The operator must not breed animals if the breeding is likely to result in an expression of deleterious traits in offspring.

S6.5 The operator must take all reasonable steps to prevent breeding of hybrids between species unless exempt under an approved management program.

S6.6 The operator must provide appropriate accommodation for breeding animals and animals with dependent young.

Guidelines

G6.1 Where an approved management program exists for a species, breeding management should be in accordance with the approved management program. Population control strategies may include:

i. fertility control, either permanent or temporary;

ii. keeping of single sexes, where this complies with requirements for gregarious animals;

iii. removal of nesting opportunities;

iv. separation of males and females during the breeding season, where this complies with requirements for gregarious animals;

v. euthanasia;

vi. removal/manipulation of eggs; and

vii. supplying animals to people who may legally receive and keep them.

G6.2 A person with detailed knowledge of the animal's reproductive behaviour, physiology and needs, should be in charge of the breeding program.

G6.3 Animal breeding should be managed with the aim of producing animals representative of the animal species' phenotype as it occurs in its natural state.

G6.4 Adequate safeguards should be implemented to maximise the survival of captive offspring. This may necessitate remedial action on the part of the keepers to ensure that these animals are not subject to injury caused by other individuals displaying aggressive behaviour.

G6.5 Procedures for hand raising animals should be conducive to the animal being able to socialise safely with conspecifics.

G6.6 Where there is a risk of unintended breeding, procedures should be developed to identify how the offspring will be managed.
7 Euthanasia

Objective

If an animal is to be killed, it is done humanely.

Standards

S7.1 The operator must ensure that written procedures are developed, maintained, implemented and readily available to authorised and competent staff, veterinarians and suitably qualified persons for:
   i. the use of euthanasia; and
   ii. appropriate methods of euthanasia for each animal held.

S7.2 The operator must ensure euthanasia of an animal is performed by or under the direction of a veterinarian or authorised staff member competent in euthanasia.

S7.3 The operator must ensure an authorised staff member competent in animal euthanasia ensures the animal loses consciousness as soon as possible and it dies while unconscious.

S7.4 The operator must ensure authorisation is only given where a staff member has knowledge and experience in performing euthanasia on the particular animal in accordance with the appropriate procedures.

Guidelines

G7.1 Records should be kept of staff authorised to perform euthanasia.

G7.2 Circumstances where euthanasia may be employed include when an animal is suffering due to:
   i. disease;
   ii. disability;
   iii. injury;
   iv. age related factors; and
   v. where the condition cannot be corrected or alleviated to an extent that ensures a reasonable quality of life.

G7.3 Euthanasia may also be employed where an animal escapes and is unable to be recaptured.
8 Capture and restraint

Objective

Animals are captured and restrained in a manner that ensures animal safety and minimises negative impacts on the animal.

Standards

S8.1 The operator must ensure that a written procedure for the safe and expedient capture and restraint of animals is developed, implemented and readily available to staff. The written procedure must include:

i. capture and restraint methods and equipment appropriate to species;
ii. animal reactions to capture and ability to defend itself;
iii. animal behaviours which indicate when capture attempts will be abandoned; and
iv. management and treatment of affected animals.

S8.2 The operator must ensure equipment and facilities for the capture and restraint of an animal are:

i. designed to prevent injury to the animal;
ii. in good working order;
iii. readily available to keepers; and
iv. appropriate for the animal.

S8.3 The operator must ensure, as much as is reasonably possible, the safety and well-being of a sedated or anaesthetised animal at all stages from the induction to the recovery.

S8.4 The operator must ensure that when being captured or restrained, animals are handled by a proficient keeper or under the supervision of a proficient keeper in the most practical manner that is least stressful to the animal.

S8.5 The operator must ensure that an appropriate number of staff competent in capture and restraint of animals are available to effect the safe capture or restraint of animals.

Guidelines

G8.1 Portable yards within an enclosure may be used for recovery of animals in open range enclosures.
9 Training

Objective

Animal training is humane and beneficial to the animal’s health, safety, behavioural and management needs.

Standards

- **S9.1** The operator must ensure written procedures regarding the health, safety and behavioural needs of the animal during training are developed, maintained and implemented and are readily available to staff who train animals.
- **S9.2** The operator must ensure training is undertaken by an appropriately experienced trainer or under the immediate supervision of an appropriately experienced trainer.
- **S9.3** The operator must ensure training does not compromise the animal’s normal physical development, health or welfare.
- **S9.4** The operator must ensure training programs do not exceed the physical capabilities of the animal.
- **S9.5** The operator must ensure exhibitions of trained behaviours of animals demonstrate behaviours that are reflective of those expressed in the wild.

Guidelines

- **G9.1** Trainers should use operant conditioning.
- **G9.2** Punishment should be avoided as a training method.
- **G9.3** Animals should be conditioned to accept routine husbandry procedures.
## 10 Interactive programs

### Objective

Animal welfare, safety and security are maintained during interactive programs so that people have a positive experience and have an enhanced appreciation of animals.

### Standards

<table>
<thead>
<tr>
<th>S10.1</th>
<th>The operator must ensure interactive programs are designed to enhance people’s appreciation of and respect for animals.</th>
</tr>
</thead>
<tbody>
<tr>
<td>S10.2</td>
<td>The operator must ensure a proficient keeper is responsible for overseeing and coordinating all interactive programs.</td>
</tr>
<tr>
<td>S10.3</td>
<td>The operator must ensure a proficient keeper supervises all interactive programs.</td>
</tr>
<tr>
<td>S10.4</td>
<td>The operator must ensure a risk assessment examining the risks to the animals is undertaken for each interactive program and is reviewed on a regular basis.</td>
</tr>
<tr>
<td>S10.5</td>
<td>The operator must ensure written procedures for interactive programs are developed, regularly reviewed and implemented and are readily available to staff.</td>
</tr>
</tbody>
</table>

**Note** - Written procedures for interactive programs may include:

1. a general description;
2. risk assessment and risk mitigation for the activity;
3. the behaviours, personality traits and other characteristics that indicate animals are suitable for the activity;
4. the signs and characteristics of animal stress to monitor;
5. number and qualifications of staff required for the activity;
6. an interactive handling timetable and animal rotation schedule to facilitate specimen-appropriate levels of interaction;
7. what is required of human participants; and
8. the withdrawal parameters for both human participants and animals.

| S10.6 | The operator must ensure interactive programs do not have adverse impacts on animal welfare. |

**Note** – Reasonable steps to minimise the risks to animal welfare involved in the interactive programs include:

1. regular monitoring/testing of animals for zoonoses;
2. enforcing health and age restrictions on human participants;
3. limits on the number of human participants per activity;
4. appropriate ratio of keepers to human participants and animals; and
5. use of proficient keepers.

| S10.7 | The operator must ensure animals that display signs of distress or illness are removed from the interactive program until such time as they are reassessed by a veterinarian or proficient keeper as being suitable to re-enter the interactive program. |

| S10.8 | The operator must ensure that each animal has been assessed by a proficient keeper, prior to being inducted into an interactive program, as: |
i. appropriately conditioned to the particular nature of the interactive program; and
ii. of suitable temperament to participate.

S10.9 The operator must provide access to hand-washing amenities or anti-bacterial hand gels for visitors having direct contact with animals.

S10.10 The operator must ensure appropriate briefings are given to human participants on what they should and should not do before partaking in any interactive program activity.

S10.11 The operator must ensure that a record is maintained of daily interactive handling times for each animal used in an interactive program.

Guidelines

G10.3 For animal welfare and educational benefits interactive programs should present natural animal behaviours.
# 11 Transportation

## Objective

Animal welfare, safety and security are maintained during transport.

<table>
<thead>
<tr>
<th>Standards</th>
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<tbody>
<tr>
<td><strong>S11.1</strong> The operator must ensure a proficient keeper supervises the transportation of an animal.</td>
</tr>
<tr>
<td><strong>S11.2</strong> The operator sending an animal must ensure animals being transported:</td>
</tr>
<tr>
<td>i. are safe, secure and fit for the intended journey;</td>
</tr>
<tr>
<td>ii. have their welfare needs met; and</td>
</tr>
<tr>
<td>iii. receive appropriate treatment if they become sick, injured or stressed during transportation.</td>
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<tr>
<td><strong>S11.3</strong> The operator sending an animal must ensure animals are transported in containers that are:</td>
</tr>
<tr>
<td>i. suitable for the animal being transported;</td>
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<tr>
<td>ii. secured to prevent escape and movement of the container within the transportation vehicle;</td>
</tr>
<tr>
<td>iii. of a size and design appropriate to prevent injury to the animal and to ensure the animal’s needs during travel are met;</td>
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<tr>
<td>iv. adequately ventilated throughout the entire journey; and</td>
</tr>
<tr>
<td>v. constructed of materials that are non-hazardous to animals that can chew.</td>
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</tbody>
</table>

### The following standards in this section apply to transport of an animal as part of an animal transaction:

<table>
<thead>
<tr>
<th>Standards</th>
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</thead>
<tbody>
<tr>
<td><strong>S11.4</strong> The operator sending an animal must ensure for an animal transaction that external indelible labels are attached to the transportation container indicating the following details:</td>
</tr>
<tr>
<td>i. the words “Live cargo” or “Live animals”;</td>
</tr>
<tr>
<td>ii. the words &quot;Correct way up&quot; or &quot;This way up&quot; with arrows indicating the correct way up;</td>
</tr>
<tr>
<td>iii. species and number of animals, and a warning if there is a dangerous animal inside; and</td>
</tr>
<tr>
<td>iv. the senders and recipients full name(s), addresses and contact telephone numbers.</td>
</tr>
<tr>
<td><strong>S11.5</strong> The operator sending an animal must ensure the animal to be transported is fit for the intended journey and appropriately prepared for transportation.</td>
</tr>
<tr>
<td><strong>S11.6</strong> The operator receiving an animal and the operator sending an animal must agree to a travel plan before the animal is transported. The travel plan must take into consideration:</td>
</tr>
<tr>
<td>i. the animal;</td>
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<tr>
<td>ii. the nature and duration of the intended journey;</td>
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<tr>
<td>iii. the weather and road conditions anticipated during the journey;</td>
</tr>
<tr>
<td>iv. the time that animal will be without feed and water prior to and during</td>
</tr>
</tbody>
</table>
transportation;

v. planned rest stops and spells;

vi. the number of people required;

vii. contingencies such as illness or injury or delays due to breakdown or mechanical failure;

viii. the need for insulation and appropriate climate control;

ix. IATA and CITES animal transport standards and guidelines; and

x. the parties to a travel plan include the operator sending the animal, the operator receiving the animal and the transporter.

S11.7 The operator sending an animal must ensure the transporter does not commence transportation until the transporter has been provided with a travel plan. The travel plan must include emergency contacts and contingencies.

S11.8 The transporter is responsible for an animal during transport from the time of loading and until the recipient takes delivery, unless the animal is accompanied by a keeper.

S11.9 The operator sending an animal must ensure a proficient keeper supervises the transportation of an animal from the time of loading until the moment the animal is offloaded where practicable.

S11.10 Upon receipt the operator receiving an animal must inspect the animal and provide it with appropriate safety, security, care and treatment.

Guidelines

G11.1 Duration of transport should be kept to a minimum.

G11.2 In determining the maximum journey time, factors for consideration include the species, food and water requirements, method of travel, ambient temperatures, physiological status and previous experience in transporting that individual or species.

G11.3 A sample travel plan template is provided at Appendix 2.
12 Animal identification and records

Objective

*Animals* are identified by standardised means and have a detailed written history.

Animal Identification

<table>
<thead>
<tr>
<th>Standards</th>
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</thead>
<tbody>
<tr>
<td><strong>S12.1</strong> The <strong>operator must ensure all animals held for exhibition purposes have individual permanent identification.</strong> This clause does not apply to:</td>
</tr>
<tr>
<td>i. fish;</td>
</tr>
<tr>
<td>ii. invertebrates;</td>
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<tr>
<td>iii. vertebrates that are dependent on parental care for their survival;</td>
</tr>
<tr>
<td>iv. <strong>group animals</strong>;</td>
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<tr>
<td>v. <em>animals</em> exempt by the <strong>taxon standards</strong>; and</td>
</tr>
<tr>
<td>vi. <em>animals</em> exempt by the relevant <strong>government authority</strong>.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Guidelines</th>
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</thead>
<tbody>
<tr>
<td><strong>G12.1</strong> Examples of vertebrates dependent on parental care for their survival include incubating eggs, birds that have not fledged and unweaned mammals.</td>
</tr>
<tr>
<td><strong>G12.2</strong> <em>Animals</em> weighing greater than 50 grams should be identified by implanting a passive integrated transponder.</td>
</tr>
<tr>
<td><strong>G12.3</strong> Alternatives to <em>individual permanent identification</em> by implanting a passive integrated transponder include:</td>
</tr>
<tr>
<td>i. drawings and photographs, for <em>animals</em> with distinguishing features;</td>
</tr>
<tr>
<td>ii. ear tattoos, for tractable <em>animals</em>;</td>
</tr>
<tr>
<td>iii. ear or wing tags; and</td>
</tr>
<tr>
<td>iv. leg bands.</td>
</tr>
<tr>
<td><strong>G12.4</strong> Passive integrated transponder identification may be unsuitable for <em>animals</em> that:</td>
</tr>
<tr>
<td>i. are very small; and/or</td>
</tr>
<tr>
<td>ii. have a very short natural generation.</td>
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</table>
Records

Standards

S12.3 The operator must ensure that an animal register and animal health records are kept and maintained for all animals in the facility.

S12.4 The operator must ensure animal records are kept for the life of the animal plus two years.

S12.5 The operator must ensure that for each animal or group animal information on the animal register includes:

i. unique animal identification if known;

ii. group animal identification, if individual identification not known;

iii. animal name, including the common and scientific name of the species;

iv. sex, if known;

v. date of birth, if known;

vi. name of breeder, place of birth, sire and dam (if known);

vii. animal transaction history;

viii. enclosure containing the animal;

ix. details of any escapes, recaptures, disappearances or reappearances;

x. adverse behaviours which demonstrate actual or significant risk of injury to the animal and other animals;

xi. reproductive history; and

xii. date and location of death, if known, and method of cadaver disposal if required.

S12.6 The operator must ensure animal health records include:

i. species name;

ii. identification of animal or group animal;

iii. diagnosis;

iv. date of the diagnosis;

v. the person who noted the condition;

vi. nature of any conditions;

vii. dates and details of treatments;

viii. the person who administered the treatment;

ix. surgical procedures;

x. vaccinations; and

xi. cause of death.

Note - Information does not need to be repeated in multiple records, provided the interpretation of the information is unambiguous (e.g. health records and health programs may be combined rather than duplicating information in two separate records).

S12.7 The operator moving an animal via an animal transaction must ensure a copy of all animal register and animal health records of the animal being moved are provided to the receiving facility.

S12.8 Records, including any documented procedures, plans or programs required by other clauses of these Standards, must be maintained by the operator in a form that
can be readily examined, analysed and deciphered.

**S12.9** The *operator* must take all reasonable steps to ensure records are kept securely and cannot be damaged.

**Note** - Steps to ensure records are kept securely and cannot be damaged include:

- i. regularly backing-up electronic files;
- ii. ensuring records are not kept in a place prone to flooding;
- iii. ensuring the place where records are kept has a smoke detector and fire extinguishers;
- iv. keeping records in a locked room;
- v. keeping records in a locked filing cabinet; and
- vi. keeping records in a fire-proof cabinet.

**S12.10** The *operator* must report significant loss or damage to records in writing to the *government authority*.

---

**Guidelines**

**G12.5** The manner in which *animal* register information is recorded should be consistent, but may differ depending on how the *animal* is identified (e.g. an individual *animal* will be recorded differently from a *group animal*).

**G12.6** *Facilities* should keep copies of all records and these should be kept separately from the original records.
Appendix 1 – Sample: Animal collection management plan

Institution:  
Species:  
IUCN Listing:  
Completed by:  
Timeline:

1. Breeding planned: yes □ no □ (if no, go to point 6)

2. Identification of individuals to be paired:

3. Purpose for breeding:

4. Anticipated offspring number:

<table>
<thead>
<tr>
<th>Current</th>
<th>Planned</th>
<th>Other information</th>
</tr>
</thead>
<tbody>
<tr>
<td>M</td>
<td>F</td>
<td>U</td>
</tr>
</tbody>
</table>

5. Are sufficient resources and facilities available: yes □ no □ (if no, breeding should not proceed)

6. Breeding control measures:

7. If controls fail how will offspring be managed:

8. Identify potential enclosures for both planned and unplanned offspring:

9. Will artificial rearing be employed?

Authorised by:  
General Manager:  
Curator:
### Appendix 2 – Sample: Animal transport plan

<table>
<thead>
<tr>
<th>Species:</th>
<th>Number of Animals:</th>
<th>Proposed transport date:</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>1.</td>
<td></td>
</tr>
<tr>
<td>2.</td>
<td>2.</td>
<td></td>
</tr>
<tr>
<td>3.</td>
<td>3.</td>
<td></td>
</tr>
<tr>
<td>Other:</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**Sending institution details**

<table>
<thead>
<tr>
<th>Organisation</th>
<th>Site address</th>
<th>Primary contact name and position:</th>
<th>Contact details:</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Organisation</th>
<th>Site address</th>
<th>Primary contact name and position:</th>
<th>Contact details:</th>
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</table>

**Receiving institution details**

<table>
<thead>
<tr>
<th>Organisation</th>
<th>Site address</th>
<th>Primary contact name and position:</th>
<th>Contact details:</th>
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</thead>
</table>

<table>
<thead>
<tr>
<th>Organisation</th>
<th>Site address</th>
<th>Primary contact name and position:</th>
<th>Contact details:</th>
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</table>

**Transport contractor details**

<table>
<thead>
<tr>
<th>Organisation</th>
<th>Site address</th>
<th>Primary contact name and position:</th>
<th>Contact details:</th>
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<tr>
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<th>Site address</th>
<th>Primary contact name and position:</th>
<th>Contact details:</th>
</tr>
</thead>
</table>

**Transport details**

<table>
<thead>
<tr>
<th>Date of transport:</th>
<th>Mode of transport:</th>
<th>Road</th>
<th>Air</th>
<th>Other</th>
</tr>
</thead>
</table>

Provide details below.

<table>
<thead>
<tr>
<th>Estimated travel time:</th>
<th>Departure time from institution:</th>
<th>Estimated arrival time at receiving institution:</th>
</tr>
</thead>
</table>

**Road travel details**

<table>
<thead>
<tr>
<th>Departure location:</th>
<th>Arrival / pick-up location:</th>
<th>Pick-up time:</th>
</tr>
</thead>
</table>

**Flight details**

<table>
<thead>
<tr>
<th>Carrier:</th>
<th>Flight #:</th>
<th>Departure location:</th>
<th>Departure time:</th>
<th>Arrival location:</th>
<th>Arrival time (local):</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Are there any connecting flights involved? If so, please provide details:</th>
<th>Connecting location:</th>
<th>Departure location:</th>
<th>Departure time:</th>
<th>Arrival location:</th>
<th>Arrival time (local):</th>
<th>Estimated time between flights:</th>
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<table>
<thead>
<tr>
<th>Number of containers for consignment:</th>
<th>Estimated weight of container(s):</th>
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</thead>
</table>

**Authorisation:**

<table>
<thead>
<tr>
<th>Institution Manager:</th>
<th>Date:</th>
<th>Name:</th>
<th>Signature:</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>Manager of biological collection (where applicable):</th>
<th>Signature:</th>
</tr>
</thead>
</table>

**General comments:**
### Animal Specific Information

<table>
<thead>
<tr>
<th>Animal Manager / keeper responsible for preparation:</th>
<th>Name:</th>
<th>Position:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Supervisor authorisation:</td>
<td>Name:</td>
<td>Position:</td>
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<td></td>
<td>Signature:</td>
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<table>
<thead>
<tr>
<th>Species:</th>
<th>Number of animals:</th>
</tr>
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</table>

<table>
<thead>
<tr>
<th>Individual ID</th>
<th>House name:</th>
<th>ARKS #:</th>
<th>Ear tag:</th>
<th>Gender:</th>
<th>Male</th>
<th>Female</th>
<th>Unknown</th>
<th>Ratio if mixed:</th>
<th>Enclosure of origin:</th>
</tr>
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<table>
<thead>
<tr>
<th>Medical information</th>
<th>Is the animal sedated?</th>
<th>Yes [ ] No [ ]</th>
</tr>
</thead>
<tbody>
<tr>
<td>Animal weight in kg:</td>
<td>Is the animal on any other medication?</td>
<td>Yes [ ] No [ ]</td>
</tr>
<tr>
<td></td>
<td>If yes, provide details;</td>
<td>Sedative type and dose:</td>
</tr>
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<td>Medication type and dose:</td>
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<th>General comments:</th>
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<tr>
<th>Checklist for sender</th>
<th>Travel permits?</th>
<th>Yes [ ] No [ ] Container stickers?</th>
<th>Yes [ ] No [ ]</th>
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</thead>
<tbody>
<tr>
<td></td>
<td>Animal Records: Specimen histories?</td>
<td>Yes [ ] No [ ] Veterinary Records?</td>
<td>Yes [ ] No [ ]</td>
</tr>
<tr>
<td></td>
<td>Consignment notes?</td>
<td>Yes [ ] No [ ]</td>
<td></td>
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</table>

Has the receiving institution confirmed the receipt of all relevant information pertaining to this transaction? Yes [ ] No [ ]

Additional comments: